### **Evaluation of Breakage of Keystone Rings** with Two Types of Overall Side Angles

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### Abstract

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Keystone rings with overall side angles of  $6^{\circ}$  and  $15^{\circ}$  are specified by the International Organization for Standardization (ISO), Japanese Industrial Standards (JIS) and SAE. This research investigated the effect of overall side angle on breakage of the keystone ring. We used simple models to calculate the side clearance between the ring and the ring groove, the mean colliding force between the ring and its groove, and the stress of ring edge under disc-spring deformation. Our results suggested that a keystone ring of a  $6^{\circ}$  angle (and thus a narrower side clearance) increased breakage resistance, as compared to a ring with a  $15^{\circ}$  angle (and a wider side clearance).

Keywords: piston ring, keystone ring, overall side angle, breakage, side clearance

### **1** Introduction

Heavy duty diesel engines use a keystone ring as the top ring. The keystone ring has a wedge-shaped cross section with tapered sides. When the keystone ring moves inside its piston ring groove in a radial direction, the clearance between the sides of the keystone ring and the ring groove decreases. The wedge shape of the moving ring pushes combustion residue (such as carbon) out of the ring groove while preventing the ring from sticking.

The essential dimensional features of the keystone rings are specified by the International Organization for Standardization (ISO), the Japanese Industrial Standards (JIS) and the SAE [1]-[4]. In all these standards, as well as existing heavy duty diesel engines, the keystone rings have an overall side angle (keystone angle) of either 6° or 15°. The clearance between the sides of the keystone ring and the ring groove depends on this keystone angle.

Future engine design might increase both engine output power and exhaust brake power, thus increasing the thermal load around the ring. This may increase the wear of the ring and the ring groove, and increase the force of the ring. Therefore, engine and piston ring designers should design the keystone ring taking into account not only wear resistance but also breakage resistance.

Several studies analyzed the behavior and lubricating oil consumption of keystone rings in diesel engines [5]-[9]. Another study evaluated ring breakage in a diesel engine [10]. However, we found no report examining ring breakage with different keystone angles.

This study investigated the breakage resistance of

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the keystone ring with keystone angles of 6° and 15° using simple models: i.e., the effect of keystone angle on colliding force of the ring and the ring groove, and stress by ring deformation.

### 2 Keystone ring and its ring groove

**Figure 1** shows the cross sections of keystone rings. The overall side angles (keystone angles) of the 6° and 15° rings are actually specified as 6°12'  $\pm$  12' and 15°12'  $\pm$  12', respectively. The width  $h_3$  of the keystone ring is defined as the width dimension at the position of the reference distance  $a_6$  inward from the ring periphery.







(ii) Keystone ring 15°



Figure 2 shows the positional relationship between the keystone ring and the piston ring groove, both when (a) the piston vertical axis is coincident with the cylinder axis, and when (b) the piston land contacts with the cylinder wall. Figure 2 assumes that the keystone angle of the ring is equal to the overall side angle of the ring groove, and the piston top land diameter is equal to the piston second land. The radial tilt of keystone ring groove is zero; i.e., the ring groove axis is perpendicular to the piston vertical axis [11]. The reference distance  $a_6$ inward from the ring periphery is 1.5mm. The width  $h_3$ of the keystone ring is fixed, even when the keystone angle varies. In **Fig.2**,  $D_0$  is the cylinder bore diameter,  $D_1$  is the piston land diameter,  $a_1$  is the ring thickness, and  $a_7$  is the remaining flat thickness.



(a) Piston vertical axis is coincident with cylinder axis



(b) Piston land contacts with cylinder wall

## Fig. 2 Positional relationship between keystone ring and piston ring groove

The side clearance between the keystone ring and the ring groove was calculated at the position of the reference distance  $a_6$  inward from the ring periphery. Equations (1) and (2) indicate the side clearances, when the piston vertical axis is coincident with the cylinder axis, as shown in **Fig.2** (a), and when the piston land contacts with the cylinder wall, as shown in **Fig.2** (b), respectively.

$$SC_1 = H - h_3 \tag{1}$$

$$SC_2 = H - 2 l \tan \beta - h_3 \ge 0 \tag{2}$$

where  $SC_l$  is the side clearance [mm] when the piston vertical axis is coincident with the cylinder axis,  $SC_2$  is the side clearance [mm] when the piston land contacts with the cylinder wall, H is the ring groove width [mm] at the position of the reference distance  $a_6$  (1.5mm) inward from the ring periphery when the piston vertical axis is coincident with the cylinder axis,  $h_3$  is the ring width [mm] at the position of the reference distance  $a_6$ inward from the ring periphery, l is the radial clearance [mm] between the cylinder and the piston land, and  $\beta$  is one side angle [°] of the keystone ring and the ring groove.

When  $SC_2$  was zero in eq. (2), we obtained eq. (3) for the minimum side clearance when the piston vertical axis is coincident with the cylinder axis.

$$SC_{1\min} = 2 \ l \ \tan\beta \tag{3}$$

where  $SC_{1 \min}$  is the minimum side clearance [mm] when the piston vertical axis is coincident with the cylinder axis.

In eq. (3), the minimum side clearance is proportional to the keystone angle. **Figure 3** shows the minimum side clearance with keystone angles of  $6^{\circ}$ (actually  $6^{\circ}12^{\circ}$ ) and  $15^{\circ}$  ( $15^{\circ}12^{\circ}$ ). **Figure 3** indicates that a keystone angle of  $6^{\circ}$  reduced the minimum side clearance by 59%, as compared to a keystone angle of  $15^{\circ}$ . We used these minimum side clearances in the following calculations.



Fig. 3 Minimum side clearance between ring and ring groove

# **3** Colliding force of keystone ring to ring groove

According to Sasaki [10], during the exhaust brake operation, the top ring moves from the bottom side to the upper side in the top ring groove at the top dead center (TDC) of the exhaust stroke. Then, the mean colliding force F [N] of the top ring to the upper side of the ring groove is shown in eq. (4).

$$F = \frac{m(1+e)\sqrt{2 \ a \ SC}}{\Delta t} \tag{4}$$

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where *m* is the piston ring weight [kg], *e* is the coefficient of restitution, *a* is the acceleration of collision  $[m/s^2]$ , *SC* is the side clearance between the ring and the ring groove [m], and  $\Delta t$  is the collision time [s].

First, we calculated ring weight utilizing the specifications for the keystone ring in **Table 1**. Figure 4 shows the keystone ring weight with keystone angles of  $6^{\circ}$  and  $15^{\circ}$ . In the fixed ring width  $h_3$  of 3mm, a keystone angle of  $6^{\circ}$  increased ring weight by 4%, as compared to a keystone angle of  $15^{\circ}$ .

Table 1 S	pecifications	of keystone	ring and	engine
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		[11111]	
Keystone	Keystone angle $\alpha$ (One side angle $\beta$ )	6° 12' (3° 6') 15° 12' (7° 36')	
	Width $h_3$	3.0	
	Thickness $a_1$	4.5	
	Remaining flat thickness $a_7$	0.5	
	Material	Stainless steel	
	Density $\rho$	7.7g/cm <sup>3</sup>	
	Cylinder bore diameter $D_0$	114.0	
Engine	Stroke S	130.0	
Lingine	Piston land diameter $D_1$	113.0	
	Connecting rod length L	187.5	





Next, we calculated the mean colliding force of the top ring to the upper side of the ring groove, by substituting the side clearance from **Fig.3**, the ring weight from **Fig.4**, and the engine specifications in **Table 1** into eq. (4). **Figure 5** shows the mean colliding force with keystone angles of  $6^{\circ}$  and  $15^{\circ}$ , with a collision time of  $53\mu$ s for  $1^{\circ}$  crank angle [10], a coefficient of restitution of 0.75 [12], at an engine speed of 2000rpm. It can be seen that a keystone angle of  $6^{\circ}$  reduced the mean colliding force by 34%, as compared to a keystone angle of  $15^{\circ}$ , because the mean colliding force is more affected by the side clearance than by the

ring weight. Even a keystone angle of  $6^{\circ}$  with a wider side clearance of 0.100mm reduced the mean colliding force by 10%, as compared to a keystone angle of  $15^{\circ}$ with minimum side clearance, as shown in **Fig.6**. Again, the  $6^{\circ}$  keystone ring makes a ring groove width with narrower side clearance than a  $15^{\circ}$  ring. This narrower side clearance increased the breakage resistance of the keystone ring by the colliding force.



Fig. 5 Mean colliding force of ring to ring groove with minimum side clearance



Fig. 6 Mean colliding force of ring to ring groove in keystone angle of 6° with side clearance of 0.100mm and 15° with minimum side clearance

### 4 Stress by deforming keystone ring

Sasaki's finite element calculation [10] shows that the ring deformation like disc spring dramatically increases stress, causing ring breakage. His tests of the combination of engine motoring and engine brake operations suggested the origin of the ring breakage is at the opposite side of the ring gap, at the edge of the periphery and the bottom side of the ring, which has the highest stress in the ring deformation like disc spring.

We investigated the stress in the tangential direction at the edge of the periphery and the bottom

side of the ring using our calculated side clearance. We assumed that the force is applied to the rectangular ring without a gap, as shown in **Fig.7**. Using the formula of the stress of the disc spring as a reference [13], the stress  $\sigma_{t2}$  [Pa] in the tangential direction at the edge of the periphery and the bottom side of the ring can be indicated in eq. (5).



Fig. 7 Ring deformation like disc spring

$$\sigma_{i2} = K_{i2} \frac{Eh^2}{r_2^2}$$
(5)

where

$$K_{12} = \frac{C\delta}{\{(1-\nu^2)h\}} \left(\frac{\delta}{2h}C_4 + C_5\right)$$
$$C = \left(\frac{\lambda+1}{\lambda-1} - \frac{2}{\log_e \lambda}\right) \pi \left(\frac{\lambda}{\lambda-1}\right)^2$$
$$C_4 = \left(\frac{\lambda-1}{\log_e \lambda} - \lambda\right) \frac{6}{\lambda \pi \log_e \lambda}$$
$$C_5 = \frac{3(\lambda-1)}{\lambda \pi \log_e \lambda}$$
$$\lambda = \frac{r_2}{r_1}$$

where  $r_1$  is the internal radius of the ring [mm],  $r_2$  is the outer radius of the ring [mm], h is the ring width [mm], E is the modulus of elasticity [Pa], v is the Poisson ratio, and  $\delta$  is deflection [mm].

Finally we examined the stress in the tangential direction at the edge of the periphery and the bottom side of the ring in a rectangular ring made of stainless steel, with a ring width of 3mm and a ring thickness of 4.5mm (the same as the keystone ring in **Table 1**), a modulus of elasticity of 203GPa, and a Poisson ratio of 0.3. We calculated the stress in the tangential direction at the ring edge when the same ring displaced the distance of the calculated side clearance with keystone angles of 6° and 15° in **Fig.3**. We verified that the sum of the calculation result of the stress at the ring edge in eq. (5) and the closure stress was almost the same as the measured result of the maximum stress on the bottom side of the keystone ring at the periphery side and the

opposite side of the ring gap. **Figure 8** shows the stress in the tangential direction at the ring edge without closure stress. We see that the side clearance calculated with a keystone angle of 6° reduced the stress in the tangential direction at the ring edge by 59%, as compared to that with a keystone angle of 15°. Not shown in a figure, even a side clearance of 0.100mm reduced the stress of the ring edge by 25%, as compared to the minimum side clearance calculated with a keystone angle of 15°. Again, the ring groove width with narrower side clearance can be designed with a keystone angle of 6° than with 15°, and this narrower side clearance increased the breakage resistance of the keystone ring by the deformation like disc spring.



Fig. 8 Stress in tangential direction at edge of periphery and bottom side of ring by deforming like disc spring, without closure stress

### 5 Conclusion

We investigated the breakage resistance of keystone rings with keystone angles of  $6^{\circ}$  and  $15^{\circ}$ , as specified in the ISO, JIS and SAE Standards, using simple models to calculate the mean colliding force of the ring with the ring groove, and the stress of the ring edge from the deformation like disc spring. The results indicated that the side clearance between the ring and the ring groove affects the colliding force and the deformation stress in the ring. Compared with a keystone angle of  $15^{\circ}$ , a ring with an angle  $6^{\circ}$  enjoys a narrower side clearance and thus an advantage in resisting breakage.

In an actual engine, with increased operation period, the wear on the sides of the ring and the ring groove would tend to accumulate, increasing side clearance, and making the colliding force and the deformation stress in the ring even higher than our calculated results above.

There is a trade-off between keystone angles of  $6^{\circ}$  and  $15^{\circ}$ . Compared to a keystone angle of  $15^{\circ}$ , a keystone angle of  $6^{\circ}$  increases both ring breakage resistance and ring sticking. Therefore, engine and ring designers should take into account not only ring breakage resistance bur also ring sticking resistance.

Simple models evaluating ring breakage can help

design the clearance between the sides of the keystone ring and the ring groove at a fixed keystone angle. Such evaluation reduces the time needed to model and analyze the ring in FEM.

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